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FIR bandpass filter with Welch method for centrifugal cooling water pump fault analysis and validation



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Abstract

The motivation for this research is the high vibration observed during the operation of the centrifugal cooling water pump P9114B. Our study aims to assess the pump's state and check the vibrations to ensure the factors underlying the fault of the centrifugal pump in the alkaline chlorine factory. While previous studies have primarily used spectral amplitude results from the Fast Fourier Transform to analyze engine vibrations, we propose a different approach in this study. We employ the Finite Impulse Response (FIR) Bandpass Filter and the Welch Method, an effective analytic approach. The ISO 10816-3 standard is a benchmark of the RMS value to determine the pump's condition. The FIR Bandpass Filter and Welch Method prove to be highly effective in describing and modifying the vibrational signals of the centrifugal pump. The Welch approach is particularly beneficial as it reduces the vibration of amplitude low, thereby producing a smoother spectrum with only the primary frequency component. Segmenting the vibration signal into the frequency band-aids in the identification of the primary vibration source. The diagnostic results reveal increased vibrations at 1x, 2x, and ball pass frequency (BPF), indicating impeller damage and disappearance. Post-repair, the vibration value experiences a significant drop, as per the fault analysis results, further confirming the high effectiveness of our approach.

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INTRODUCTION

The centrifugal pump moves liquid from one location to another by strengthening fluid pressure [1], [2]. The P9114B pump is a centrifugal pump specifically designed for water cooling in the heat exchanger system. During operation in the field, the P9114B centrifugal pump experienced a reasonably large vibration. Failure to overcome excessive vibrations can cause severe damage to the pump. Predictive maintenance requires using condition-monitoring techniques, especially those related to machine vibrations, to carry out practical maintenance tasks [3], [4], [5], [6].

Different vibrations can be caused by damage to the bearing, which may arise due to

wear or structural damage. Abnormal vibrations can also be caused by mechanical leeway, which refers to the reduction in bolt firmness or other binding systems [7], [8], [9]. Cavity is a phenomenon that appears when the pressure in the liquid drops to the level under the pressure, resulting in the formation of bubbles [10]. These bubbles have the potential to cause damage to various components and cause irregular vibrations (6.7).

Romahadi et al. [11], Nicusor et al. [12], and Bhaumik et al. [13] conducted a case study of machines that showed significant vibrations in previous studies. His research aims to determine the nature of the damage experienced by the machine through the use of fast Fourier

transform (FFT) techniques. FFT is a conventional technique used to change vibrations in the form of time domain into domain frequency [14]. The investigation findings of vibration spectrum analysis show that the gearbox motor shows disappearance, imbalance, and damage to the bearing due to excessive vibration. This is evidenced by the presence of 1x, 2x, and frequency of bearing frequencies. The study by Lian et al. [15] involved a numerical model to evaluate the amount of solid and liquid object vibrations in the tunnel. Research from Taghipour et al. [16] aims to analyze the ratio of mass loading experienced by the Rotor. Experimental findings show that imbalance and misalignment significantly impact the vibration level, as evidenced by a 1x and 2x work cycle. In addition, it was observed that the amount of vibration increased with the burden of imbalance applied to the rotor.

This study aims to analyze vibrations to identify primary frequencies in the P9114B centrifugal pump responsible for excessive vibrations observed in the frequency domain. Based on our best knowledge, no research discusses vibration analysis on centrifugal water-cooling pumping machines. In contrast to previous research efforts, which obtain spectral amplitude by applying the FFT, this research does not cover the method. FIR bandpass filter and the Welch approach are two strategies used in vibration spectrum analysis. We suggest an alternative method and approach to this technique. Measuring devices known as Vibxpert II are used to take measurements. This instrument uses three different measurement directions: horizontal, vertical, and axial. The RMS algorithm processes all vibration data, and the results are compared with ISO 10816-3 standards. Welch algorithms are used to conduct fault analysis that occurs in centrifugal pumps through the use of frequency domain computing.

MATERIAL AND METHOD

Vibration Measurement

This study uses Vibxpert II measurement devices to measure pump vibrations. Figure 1 illustrates the instruments used for vibrational data acquisition.



Figure 1. VibXpert II

Table 1 shows the specifications of the Vibxpert II vibration measuring device, while Table 2 shows the specifications of the VIB accelerometer sensor, 6.142.

In this study, we used a cooling water centrifugal pump, as shown in Figure 2, an essential component of the cooling tower system, in this investigation. The power possessed by the centrifugal pump with a shaft rotation of 1450 rpm is 114.4 kW. At PT SAU, the fluid is channeled from a cooling tower to a heat exchanger through the P9114B pump.

Table 1. VibXpert II Specification

NAME	SPECIFICATION
Range Frequency	0.5 Hz – 40 kHz
Environment Protection	IP65
Temperature Operasional	0°C to 50°C
Data Memori	128 MB DDR RAM
Tipe Baterai	Li Ion rechargeable (7.3V / 5.3Ah – 38.7Wh)
Dimension	186 x 162 x52 mm (LxWxH)

Table 2. Vib. 6.142 Specification

NAME	SPECIFICATION
Transmission Factor	1,0 $\mu A/m/s^2$
Resonance Frequency	36 kHz
Temperature Range	-40°C to 100°C
Case Material	Stainless steel VA 1.4305
Environment Protection	IP 65

The bearing type used on the P9114B drive end (DE) pump is the 3314-type angular contact bearing, while on the (non-drive end) NDE and DE-NDE motor side is the ball cushion in the 6314-type groove. The gland packing type is used to seal asbestos, other than lubricating lubricants. The centrifugal cooling water P9114B pump specifications are shown in Table 3.

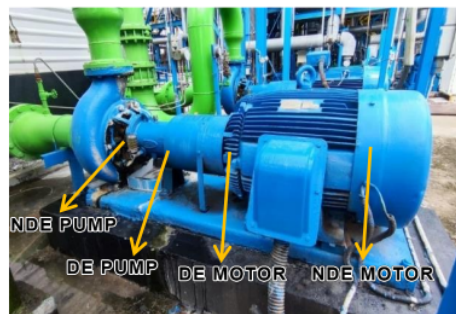


Figure 2. Centrifugal Pump p9114b

Table 3. Centrifugal Pump P9114B Specification

NAME	SPECIFICATION
Pump Type	Centrifugal Pump
Model	3K 10 X 8-16/156
Brand	DURCO MARK III
Suction Press	5.5 Bar
RPM	1450
Power	114.4 Kw

Machine Component Frequency

Frequency specifications and calculations are vital in machine fault analysis, especially at bearing frequencies [17]. The pump uses type 6314 ball bearings and has detailed specifications, as shown in Table 4.

Table 4. Centrifugal Bearing Frequency

NAME	SPECIFICATION
RPM	1450 (24.17 Hz)
Pitch Diameter (D_p)	100 mm
Outside Diameter (D_o)	150 mm
Inside Diameter (D_i)	70 mm
Number of Balls (N_b)	8
Ball Diameter (D_b)	20 mm
Contact Angle (β)	0°

Bearing characteristic frequencies, such as inner and outer race, ball or roller, and cage frequencies, are shown in Equations 1 - 4. If a bearing element frequency is proven, it indicates a bearing defect related to pump damage [17].

$$BPFI = \frac{RPM}{120} \left(1 + \frac{D_b}{D_p} \cos \beta \right) \quad (1)$$

$$BPFO = \frac{RPM}{120} \left(1 + \frac{D_b}{D_p} \cos \beta \right) \cdot N_b \quad (2)$$

$$BSF = \frac{RPM}{120} \left(1 - \left(\frac{D_b}{D_p} \cos \beta \right)^2 \right) \cdot \frac{D_p}{D_b} \quad (3)$$

$$FTF = \frac{RPM}{120} \left(1 - \frac{D_b}{D_p} \cos \beta \right) \quad (4)$$

Where,

- FTF : Fundamental Train Frequency
- BPFI : Ball Pass Frequency of Inner Ring
- BPFO : Ball Pass Frequency of Outer Ring
- BSF : Ball Spin Frequency

The P9114B pump impeller has 6 blade angles. The pump shaft rotates at 1450 RPM or 24.17 Hz. Use Equation 10 to calculate the frequency produced by the pump impeller.

$$BPF = \frac{B_N}{60} \times RPM \quad (5)$$

B_N is the number of blades, and RPM is the shaft rotation in Hz.

Vibration Signal Processing

Vibration measurements were carried out to collect centrifugal pump vibration data. The data was collected using the VibXpert II tool at both inboard and outboard sites. Measurements were carried out in three directions, namely horizontal, vertical, and axial, both on the motor and pump sides. The data processing technique was then carried out using MATLAB software. Vibration data in the time domain is derived using the RMS technique for vibration, as stated in Equation 6 [18].

$$x_{RMS} = \sqrt{\frac{1}{N} \sum_{n=1}^N |x_n|^2} \quad (6)$$

The RMS calculation results will be compared with the ISO 10816-3 standard. This aims to determine the level and condition of pump vibration. The part of ISO 10816, as shown in [19], provides criteria for assessing vibration levels when measurements are carried out directly at the measurement location [20]. The specified criteria apply to machine devices with power above 15 kW and an operating speed between 120 and 15000 RPM. The ISO 10816-3:2009 velocity type standard assesses vibration in machines with a more than 600 RPM rotational speed. Generally, vibration assessment uses mm/s RMS units. If the RMS value is lower than the limit in the ISO 10816-3 group 2 standard with a rigid foundation type, i.e., 4.5 mm/s, then the pump vibration value is still good, so there is no need to proceed to the following procedure. On the other hand, if the vibration exceeds 4.5 mm/s, then analysis to find the source of the damage needs to be carried out.

The initial stage to find the source of damage is to apply the bandpass finite impulse response (FIR) algorithm to select the frequency with the highest energy [21], as shown in Equation 7 - 13.

$$h_{ideal}(n) = h_{ideal,high}(n) - h_{ideal,low}(n) \quad (7)$$

The impulse response $h_{ideal}(n)$ of the perfect bandpass filter is obtained by subtracting the impulse responses of two ideal low-pass filters, where,

$$h_{ideal,low}(n) = \frac{\sin\left(\frac{2\pi f_{low}}{fs} \left(n - \frac{N}{2}\right)\right)}{\pi \left(n - \frac{N}{2}\right)} \quad (8)$$

$$h_{ideal,high}(n) = \frac{\sin\left(\frac{2\pi f_{high}}{f_s}\left(n - \frac{N}{2}\right)\right)}{\pi\left(n - \frac{N}{2}\right)} \quad (9)$$

for $n = N/2$:

$$h_{ideal,low}N/2 = \frac{\omega_{low}}{\pi} \quad (10)$$

$$h_{ideal,high}N/2 = \frac{\omega_{high}}{\pi} \quad (11)$$

The Hanning window function, denoted as $w(n)$, regulates the oscillation in both the passband and stopband. Convolve the ideal impulse response with this window to obtain the final impulse response, as depicted in Equation 12.

$$h(n) = h_{ideal}(n) \cdot w(n) \quad (12)$$

Where $w(n)$ is defined for $n = 0, 1, 2, 3, \dots, N$. The ultimate filter coefficients $h(n)$ are computed for $n=0, 1, 2, \dots, N$.

A vibration signal exhibiting frequency clustering was acquired after successfully implementing FIR bandpass filtering. Subsequently, the Welch method was employed to process the signal and derive its power spectral density (PSD). All spectrum analysis procedures include converting the time-domain representation of a vibration signal into a frequency-domain representation. An analysis of the relative amounts of vibration in different frequency ranges can often provide valuable information on the nature of the resulting damage. To analyze a continuous signal across time, it is necessary to determine the PSD for the stationary process. This pertains to the power distribution in the signal or time series across different frequencies. In this context, power refers to tangible physical force or, more commonly, it is used to describe the magnitude of abstract signals, represented by the square of the signal's value. Equation 13 is the primary formula for calculating the PSD [22], [23].

$$S(e^{j\omega}) = \frac{1}{2\pi N} \left| \sum_{n=1}^N x_n e^{-j\omega n} \right|^2 \quad (13)$$

The concept of power spectral density can be extended straightforwardly to finite time series with amplitude $1 \leq n \leq N$, such as signals sampled at discrete periods $xn = x(n\Delta t)$, over a total measurement duration $T = N\Delta t$.

RESULTS AND DISCUSSION

Machine Component Frequency

Vibrations are generated at varying frequencies by different sources of vibration. By examining individual frequencies within the vibration signal, we can determine the origin of the vibration, be it due to imbalance, misalignment, mechanical instability, bearing abnormalities, gear malfunctions, or other issues. Gaining insight into the frequency components of vibration aids in the precise identification of problems. For instance, if a specific frequency aligns with a particular component or the rotational speed of a machine, this can direct the technician to concentrate the examination for that specific location, leading to a more effective diagnosis and troubleshooting process. Table 5 displays the frequency of components in centrifugal pump machines.

Table 5. Frequency Components

Frequency Components	Frequency (Hz)
BPI	118.98
BPFO	74.34
BSF	38.67
FTF	9.29
BPF	148.5

Vibration Measurement Results

In centrifugal cooling pump machines, changes in vibration amplitude can indicate a fault or abnormality. An increase in amplitude that exceeds the ISO 10816-3 standard may indicate wear, misalignment, imbalance, or other mechanical problems. Monitoring the amplitude over time will help in predictive maintenance, allowing timely intervention before a major failure occurs in the machine.

Table 6. Overall Motor Vibration Before Repair

Date	EV (mm/s)	NDE H (mm/s)	DE V (mm/s)	DE H (mm/s)	DE A (mm/s)
18/01/2023	0.92	1.17	1.41	1.75	0.90
25/01/2023	1.04	1.34	1.51	1.72	1.06
01/02/2023	1.73	1.99	1.56	2.25	1.05
08/02/2023	1.53	1.91	1.46	2.38	1.01
15/02/2023	1.72	1.89	1.62	2.08	1.36
22/02/2023	1.81	2.02	1.59	2.28	1.35
02/03/2023	1.93	2.00	1.57	2.30	1.35
09/03/2023	2.00	2.40	2.00	3.00	1.70

As shown in Tables 6 and 7, the amplitudes are

used to assess machine conditions. Table 6 shows the overall RMS value for the motor part before repairs occur. Regarding the ISO 10816-3 standard, almost all vibrations in motor parts are still in the good category. Only one vibration originating in the horizontal axis DE bearing falls into the permitted short-term operation category. Table 7 shows the overall RMS vibration on the pump side. In contrast to the motor section, the vibrations on the pump side are more significant. According to ISO standards, many vibrations fall into the short-term operation category, and one value falls into the vibration category that can cause damage; in other words, the machine must be repaired immediately.

Establishing and operating a condition-monitoring program becomes possible by

continuously monitoring vibration data over a while. By setting initial vibration patterns and monitoring departures from these patterns, as seen in Figure 3, we may anticipate possible malfunctions and plan maintenance tasks. This approach helps to minimize periods of inactivity and decrease expenses related to maintenance. We choose a single set of vibration data from each location point on the horizontal axis of the machine's measurement. The blue vibration signal represents measurements from the motor component, while the red hue corresponds to the pump. According to the graph, the pump exhibits prominent vibrations at low frequencies, whereas the motor is predominantly affected by high frequencies.

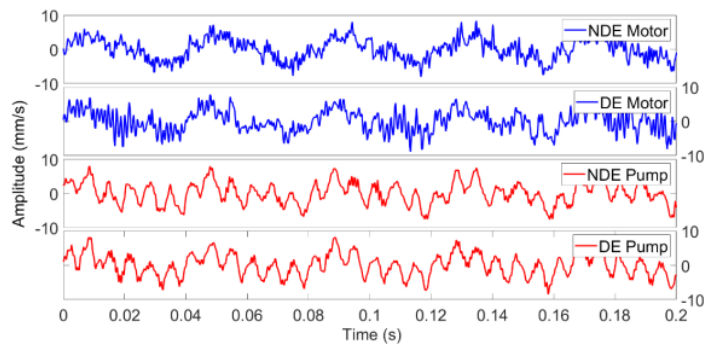


Figure 3. Time Domain Vibration

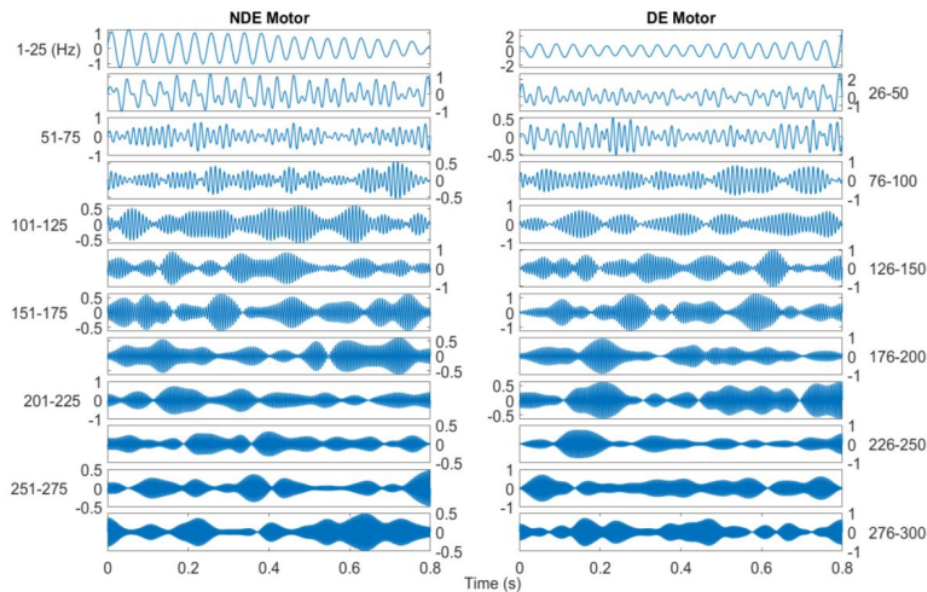


Figure 4. Motor Vibration Decomposition

Table 7. Overall Pump Vibration Before Repair

Date	DE V (mm/s)	DE H (mm/s)	NDE V (mm/s)	NDE H (mm/s)	NDE A (mm/s)
18/01/ 2023	2.23	2.86	2.38	2.55	2.3
25/01/ 2023	2.99	2.89	2.47	2.65	2.3
01/02/ 2023	2.74	3.3	2.57	3	2.33
08/02/ 2023	2.69	3.4	2.5	3.08	2.3
15/02/ 2023	2.67	3.89	2.72	3.4	2.31
22/02/ 2023	2.65	3.9	2.86	3.3	2.2
02/03/ 2023	2.73	4	2.89	3.23	2.35
09/03/ 2023	3	5.16	3.66	3.66	2.7

To identify the primary vibration source in the machine, we employ FIR bandpass filtering to separate the vibrations into distinct frequency groups. This process is illustrated in Figure 3, and

the resulting 12 frequency groups can be observed in Figures 4 and 5.

These figures show the vibration signal after decomposing by the FIR bandpass filter algorithm. Regarding the amplitude figures, there are high vibrations in the low-frequency window, namely 1 – 25 Hz and 26 – 50 Hz at both motor vibration measurement points. The same thing can also be seen in pump vibrations, as shown in Figure 10. The highest amplitude is at low frequencies. The frequency that arises is closely related to the fundamental motor rotation, 24.17 Hz. To diagnose the source of vibration triggers more clearly in the machine, we transformed it into PSD using the Welch method. PSD is a vibration displayed in the frequency domain. In contrast to FFT, the Welch method normalizes the amplitude to a single hertz bandwidth. The results of the Welch method transformation can be seen in Figures 6 and 7.

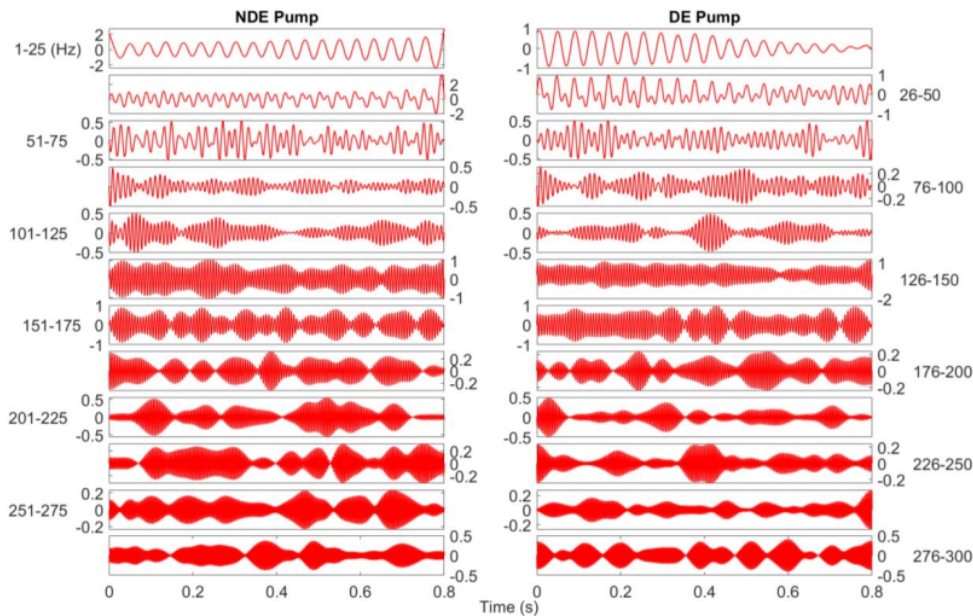


Figure 5. Pump Vibration Decomposition

Figure 6 displays vibrations in the frequency domain characterized using a FIR bandpass filter. Signals positioned around the intersection point of the frequency window can still be observed in the filtering results window during the signal decomposition process and observed through the window located in the leftmost column of the second row. Two amplitudes arise from the primary rotation of the motor, occurring twice,

despite implementing an FIR bandpass filter within the frequency range of 26 – 50 Hz. The presence of two amplitudes at frequencies 1X and 2X suggests a misalignment in the machine shaft. The traits mentioned earlier are also evident in Figure 7 within the identical frequency range. Based on the information provided by the two vibration graphs, we can tentatively infer that the primary vibration sources are found within the

frequency ranges of 1-25, 26-50, and 51-75. To verify the prevailing vibrations in the machine, we aggregate the vibrations from each window into signal energy. We calculate the quotient of each

average value on one window and all windows. Figure 8 displays the outcomes of the accumulated signal energy.

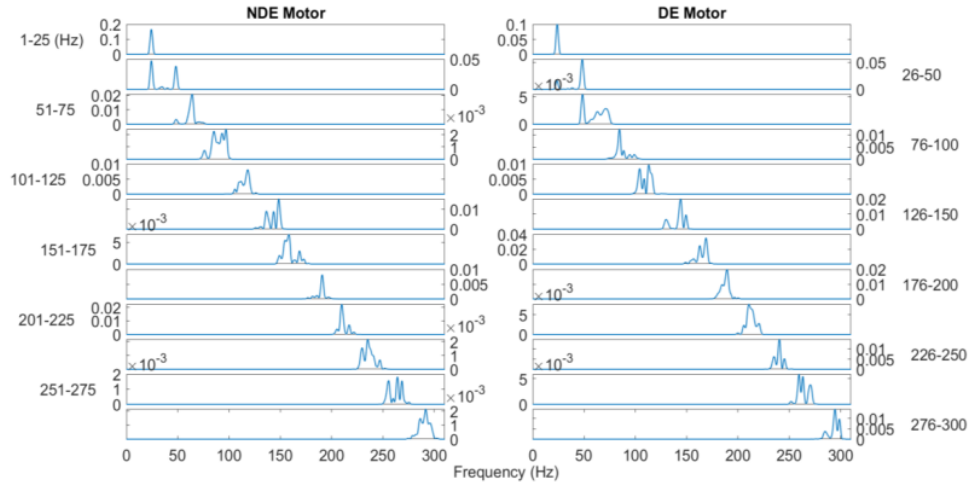


Figure 6. Frequency Domain in Decomposed Motor Vibration

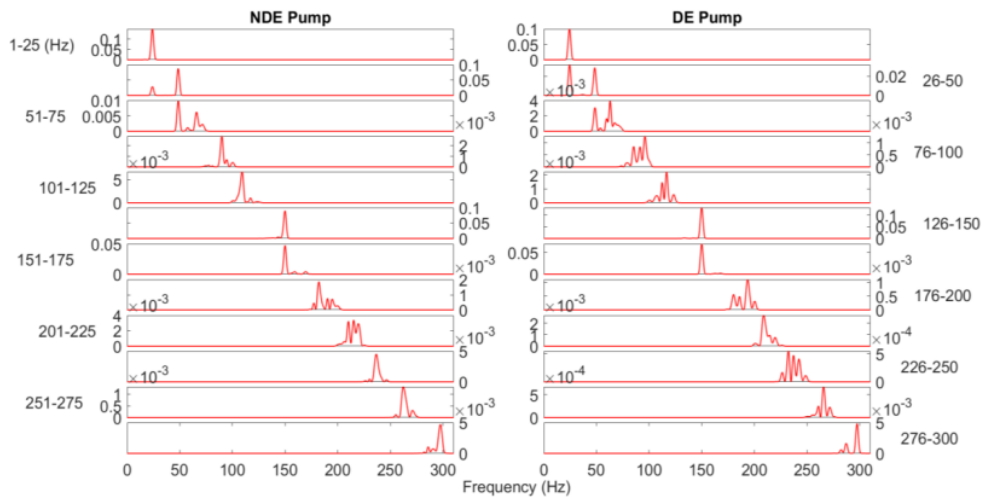


Figure 7. Frequency Domain in Decomposed Pump Vibration

Figure 8 shows that the most potent vibration comes from the pump's NDE bearing, i.e., at 1-25 Hz, accounting for 40% of the overall vibration. The second most prevalent vibration occurs in the DE pump at a frequency range of 126 - 150 Hz. The subsequent most prevalent vibrations occur within 26 - 50 Hz and 176 - 200 Hz. Utilizing a signal energy graph obtained by averaging the energy of a particular frequency window across all windows facilitates the

identification of the origin of present vibrations. Using signal energy, we may bypass the need to individually analyze each frequency included in a comprehensive frequency, including all machine component vibrations. Instead, we can instantly pinpoint the specific location of the most prominent vibration. By examining our energy signal graph, we can directly investigate all measurement locations and identify components that exhibit significant vibrations. Once the

location of the dominating vibrations in the machine is determined, the Welch method may be applied to analyze the vibrations in the entire time

domain. Furthermore, we offer the transformation outcomes of the fast Fourier approach to compare the results obtained from both methods.

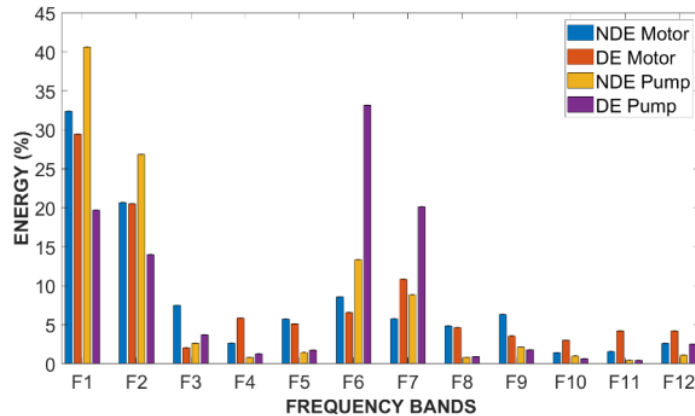


Figure 8. Energy Bands Before Repair

Comparison of Welch and FFT

Figures 9 and 10 show the results of the FFT and Welch transformation. In this research, the FFT and Welch methods convert vibrations in

the time domain into the frequency domain. This allows us to analyze the frequency components in vibrations in centrifugal pumps.

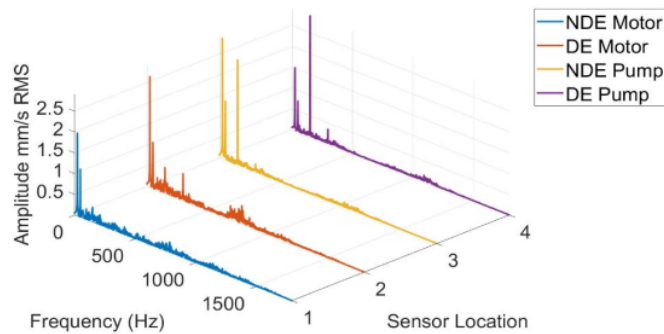


Figure 9. Spectrum Produced by FFT

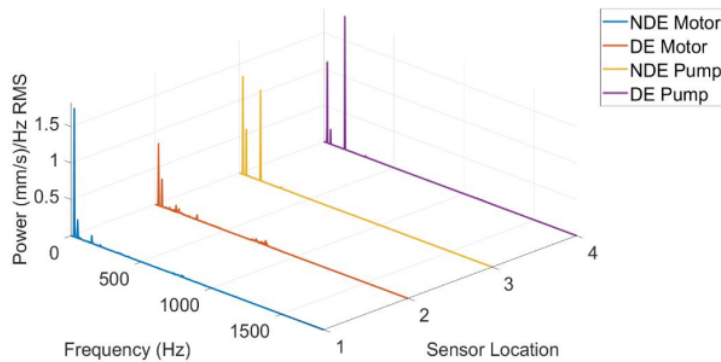


Figure 10. PSD Produced by Welch

The Welch method is more widely used to estimate spectral density, especially when dealing with power spectra that vary over time. Simply put, FFT is primarily employed to convert signals from the time domain to the frequency domain. On the other hand, the Welch method is used in this context to estimate the power spectrum density of

vibrations. This results in a smoother spectrum by eliminating vibrations caused by noise or small amplitude high-frequency components. Our primary objective is to address core engine issues such as unbalance, misalignment, impeller damage, and foundation concerns.

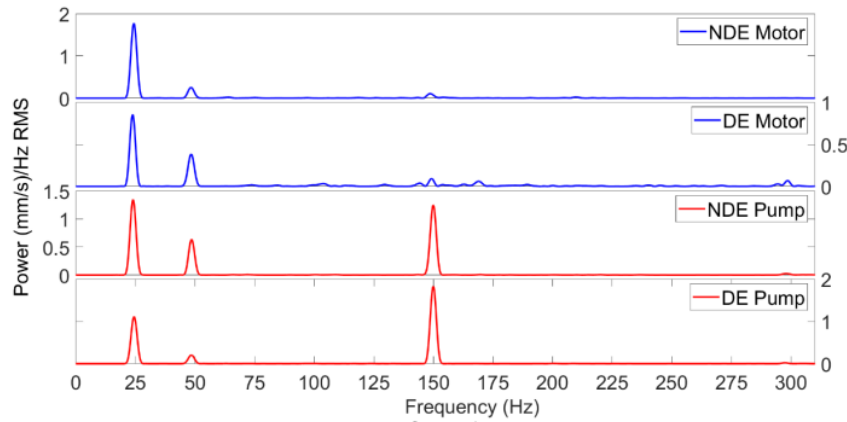


Figure 11. PSD Before Repair

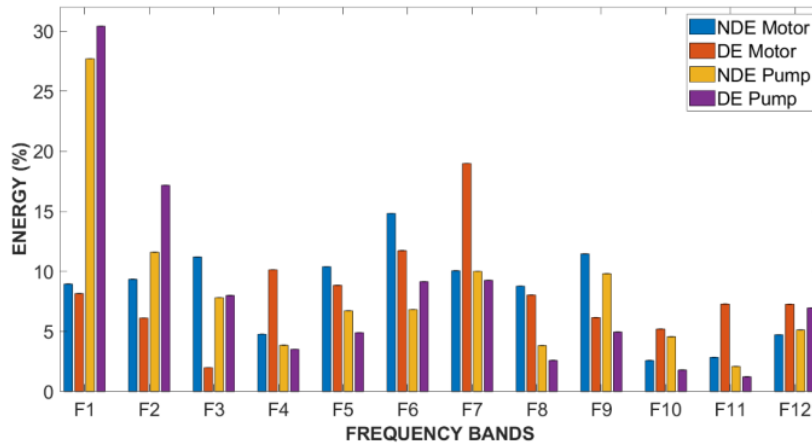


Figure 12. Energy Bands After Repair

The spectrum of the FFT computation results is depicted in Figure 9. By employing the FFT, it is observed that a more significant number of low amplitudes are disregarded compared to utilizing the Welch approach, as depicted in Figure 10. The Welch approach involves applying a Hann window to the intact signal, resulting in a signal cut of 50%. In addition, the Welch computation involved windows that overlapped by 25%. The spectrum obtained by the Welch approach

exhibits a smoother appearance, with only the most prominent vibration components present. Both signals have identical frequency components when considering the primary signal energy depicted in Figure 8. To enhance the visibility of the primary vibrations present in the spectrum, we specifically concentrated on frequencies ranging from 0 to 300 Hz, as depicted in Figure 11.

Figure 11 displays the spectrum obtained using the Welch approach, specifically focusing on

frequencies ranging from 0 to 300 Hz. The primary vibrations in the centrifugal pump are observed at 1X, 2X, and 6X, forming the BPF. The signal energy graph demonstrates identical outcomes. Based on Charlotte's vibration analysis reference, vibrations at 1 and 2 fundamental engine revolutions suggest a misalignment issue with the engine shaft [16]. Hence, based on the findings of this research, realigning the shaft both angularly and parallelly is advised. Furthermore, examining and cleaning the channels and impeller concerning the presence of 6X or BPF frequencies is necessary.

Measurement Results After Repair

Enhancements were implemented based on the results of the centrifugal pump vibration analysis. Upon inspection, it was observed that the impeller blade was damaged and had a hole.

Additionally, worn-down impeller surfaces were discovered in other areas. Given the impeller's current state, replacing it with a new one is necessary. The rubber component of the H-type clutch was substituted due to wear, resulting in an imprecise fit with the clutch. The remaining elements are in satisfactory condition; hence, no repairs or replacements are necessary. Figure 12 illustrates the signal's energy following enhancements to the centrifugal pump. The energy signal at each frequency indicates that the primary oscillation in the centrifugal pump occurs within the frequency range of 1 to 25 Hz. The percentage depicted in this graph does not indicate the machine's vibration magnitude. The vibration magnitude inspection must consider the entire root mean square (RMS) value of vibration. The post-repair vibration values are displayed in Table 8.

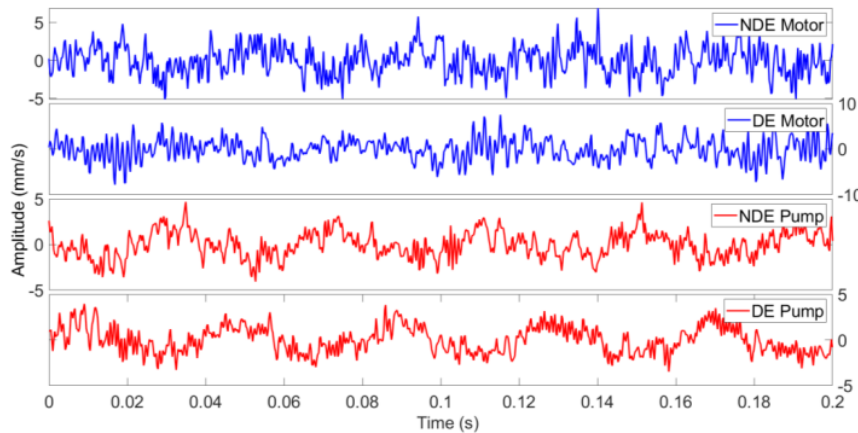


Figure 13. Time Domain After Repair

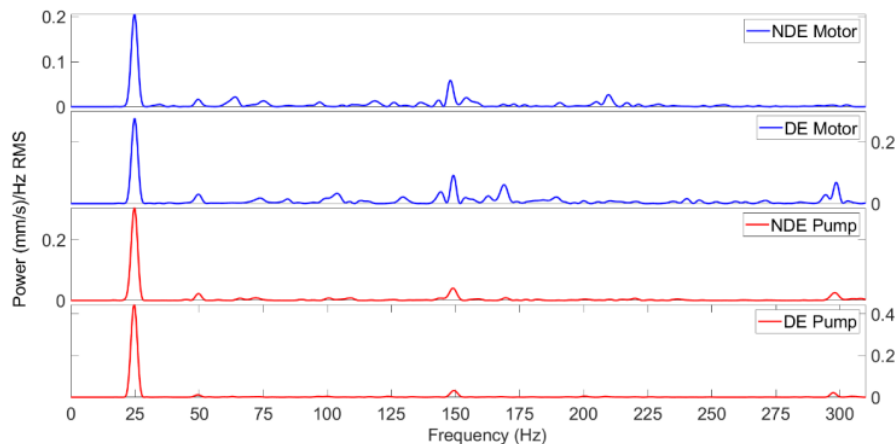


Figure 14. Overall PSD After Repair

The modifications resulted in a substantial decrease in vibrations on the motor parts, as shown in Table 8. We conducted four iterations of measurements to confirm the accuracy and precision of the measurement data. All vibrations decrease at every measuring location. Figures 13 and 14 visualize vibration in both the time and frequency domains.

Table 8. Overall Vibration After Repair

PART	NDE V (mm/s)	NDE H (mm/s)	DE V (mm/s)	DE H (mm/s)	DE A (mm/s)
Motor	0.67	0.65	1.23	1.48	0.93
Pump	1.42	1.78	1.41	1.51	0.98

Both figures demonstrate that vibrations resulting from misalignment and BPF are minimal. There is a significant disparity between the spectrum before and after improvement. These results validate all values for the overall RMS vibration on both the motor and pump side, in addition to confirming the findings of the conducted vibration analysis.

CONCLUSION

The source of excessive vibration in the P9114B centrifugal pump can be accurately identified by analyzing the vibration signal represented by the total RMS speed vibration value. The status of a centrifugal pump can be assessed by comparing its total RMS speed vibration value with the ISO 10816-3 standard. Based on the analysis results, the overall RMS vibration value decreased after the pump was repaired. The DE pump operates at a speed of 5.16 mm/s, indicating a Danger condition. The vibration value of the centrifugal pump decreased to 1.78 mm/s after repairs were carried out until it reached a satisfactory condition.

The FIR bandpass filter and Welch methods were successfully applied to decompose and transform centrifugal pump vibration signals. The advantage of using the Welch method is that it reduces low amplitude vibrations so that the spectrum looks smoother and contains only the main frequency components. Decomposing vibration signals into frequency groups makes finding the dominant vibration source easier. Diagnostic findings include high vibrations at 1X, 2X, and BPF, which indicate misalignment and damage to the impeller. After repairs were performed according to recommendations, the vibration value decreased significantly.

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